

05/14/01

EXPRESS MAIL LABEL NO. EL. 6709US

05-15-01

DECKET NO. ARC920000146US1

A

## UTILITY PATENT APPLICATION TRANSMITTAL

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

11033 U.S. PTO  
09/855090  
05/14/01

Sir:

Transmitted herewith for filing is the patent application of:

**INVENTORS:** Reiner KRAFT and Joann RUVOLO

**TITLE:** *METHOD AND APPARATUS FOR GRAPHICALLY FORMULATING  
A SEARCH QUERY AND DISPLAYING RESULT SET*

### ASSIGNEE INFORMATION PURSUANT TO 37 C.F.R. 1.76

**ASSIGNEE NAME:** INTERNATIONAL BUSINESS MACHINES CORPORATION

**ASSIGNEE RESIDENCE:** ARMONK, NEW YORK

In connection with this application, the following are enclosed:

☒ Fee Transmittal Form

☐ 23 Pages of Specification, Claims and Abstract

☐ 27 Claims

☐ 5 Sheets of Drawings (FIGS. 1-5)

☒ Declaration, Power of Attorney

☒ Information Disclosure Statement, Form PTO 1449 w/11 references

☒ Assignment Recordation Sheet w/Assignment to: International Business Machines Corporation

☒ Please direct all correspondence to Customer Number 23334

Respectfully submitted,

FLEIT, KAIN, GIBBONS,  
GUTMAN & BONGINI P.L.  
One Boca Commerce Center  
551 Northwest 77th Street, Suite 111  
Boca Raton, FL 33487  
(561) 989-9811  
(561) 989-9812 Fax

BY:

*Jose Gutman*  
Jose Gutman  
Reg. No. 35,171

05/14/01  
U.S. PATENT  
OFFICE

EXPRESS MAIL LABEL NO. EL 6709US

DEPOSIT NO. ARC920000146US1

## FEE TRANSMITTAL

Transmitted herewith for filing is the patent application of:

**INVENTORS:** Reiner KRAFT and Joann RUVOLO

**TITLE:** *METHOD AND APPARATUS FOR GRAPHICALLY FORMULATING  
A SEARCH QUERY AND DISPLAYING RESULT SET*

The fee has been calculated as shown below. (Small entity fees indicated in parentheses.)

| For                       | Number Filed |    | Number Extra | Rate<br>Large (Small) | Basic Fee<br>\$710 (\$355) |
|---------------------------|--------------|----|--------------|-----------------------|----------------------------|
| Total Claims              | 27           | 20 | 7            | \$18 (\$9)            | 126                        |
| Independent<br>Claims     | 4            | 3  | 1            | \$80 (\$40)           | 80                         |
| Multiple Dependent Claims |              |    |              | \$270 (\$135)         | 0                          |
| Assignment Recording Fee  |              |    |              | \$40                  | 40                         |
|                           |              |    |              | <b>TOTAL FEE:</b>     | <b>\$ 956</b>              |

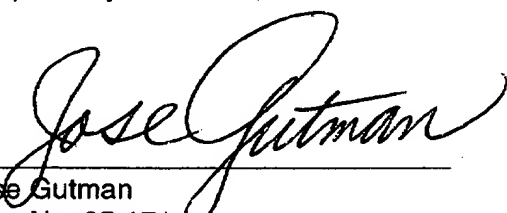
XX The Commissioner is hereby authorized to charge Deposit Account No. 09-0441 in the amount of \$956. A duplicate copy of this sheet is enclosed.

XX The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09-0441.

Respectfully submitted,

FLEIT, KAIN, GIBBONS,  
GUTMAN & BONGINI P.L.  
One Boca Commerce Center  
551 Northwest 77th Street, Suite 111  
Boca Raton, FL 33487  
(561) 989-9811  
(561) 989-9812 Fax

BY:

  
Jose Gutman  
Reg. No. 35,171

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Atty Docket: ARC920000146US1  
Reiner KRAFT *et al.* : APPLICATIONS BRANCH  
Serial No. (not yet assigned) :  
Filed: HEREWITH :  
FOR: METHOD AND APPARATUS FOR GRAPHICALLY FORMULATING  
A SEARCH QUERY AND DISPLAYING RESULT SET

## CERTIFICATE OF EXPRESS MAIL MAILING

"Express Mail" Mailing Label No. **EL746146709US**Date of Deposit: **May 14, 2001**

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

I hereby certify that

☒ Application Transmittal  
☒ Fee Transmittal Form  
☒ Specification, Claims, Abstract  
☒ 1 set of 5 sheets of drawings  
☒ Declaration and Power of Attorney  
☒ Information Disclosure Statement, Form PTO1449 w/ 11 references  
☒ Assignment Recordation Sheet w/Assignment to International Business Machines Corp.  
☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

5/14/01  
Date of Deposit

Karen Taragowski  
Name of person mailing papers

Karen Taragowski  
Signature